

AUDIT COMMITTEE

22 June 2015

AUDIT COMMITTEE WORK PROGRAMME 2015/16

REPORT OF CHIEF FINANCE OFFICER

Contact Officer: Alexis Garlick Tel No: 01962 848224 Email:  
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RECENT REFERENCES:

AUD090 - Audit Committee Work Programme 2014/15, 26 June 2014

EXECUTIVE SUMMARY:

A recommendation arising from the Committee's self-assessment in June 2013 was that the Committee should consider having an annual work programme to improve effectiveness.

An Audit Committee Work Programme for 2015/16 is now attached for the consideration and approval of the Committee.

Changes from last year include:

- The Anti-Fraud and Corruption Policy was reviewed in 2014/15 and is not proposed to be repeated annually.
- A self assessment of Internal Audit will not take place in 2015/16 as an external assessment is planned which will be reported by June 2016.
- The Treasury Management Practices being considered in June will not be reviewed every year.

RECOMMENDATIONS:

1. That the Audit Committee Work Programme for 2015/16 be approved.

**MEETING JUNE 2015**

**AUDIT**

Internal Audit

Lead

Annual Internal Audit Report & Opinion 2014/15

Antony Harvey - Audit Group Manager,  
Southern Internal Audit Partnership

Internal Audit Progress Report

Antony Harvey - Audit Group Manager,  
Southern Internal Audit Partnership

External Audit

External Audit Plan 2014/15

Kate Handy – Director, Ernst and  
Young

Annual Audit Fee 2015/16

Kate Handy – Director, Ernst and  
Young

Audit Commission closure

Alexis Garlick – Chief Finance Officer

**REGULATORY FRAMEWORK**

Risk Management Policy 2015

Simon Eden – Chief Executive

Corporate Governance Report & Annual Governance Statement

Stephen Whetnall – Chief Operating  
Officer

Audit Committee Work Programme

Alexis Garlick – Chief Finance Officer

Treasury Management Practices

Alexis Garlick – Chief Finance Officer

Treasury Management Stewardship Review (2014/15)

Alexis Garlick - Chief Finance Officer

**ACCOUNTS**

Annual Financial Report 2014/15

Alexis Garlick - Chief Finance Officer

**MEETING SEPTEMBER 2015**

**AUDIT**

Internal Audit

Internal Audit Progress Report

Antony Harvey- Audit Group Manager,  
Southern Internal Audit Partnership

External Audit

Audit Results Report for the year ended 31 March 2015

Kate Handy – Director, Ernst and  
Young

**REGULATORY FRAMEWORK**

**ACCOUNTS**

Annual Financial Report 2014/15 (for approval)

Alexis Garlick - Chief Finance Officer

**MEETING DECEMBER 2015**

**AUDIT**

Internal Audit

Internal Audit Progress Report

Antony Harvey- Audit Group Manager,  
Southern Internal Audit Partnership

External Audit

Annual Audit Letter

Kate Handy – Director, Ernst and  
Young

**REGULATORY FRAMEWORK**

Annual Governance Statement & Corporate Risk Monitoring  
Report

Stephen Whetnall - Chief Operating  
Officer

Treasury Management Mid-year Review (2015/16)

Alexis Garlick - Chief Finance Officer

**MEETING MARCH 2016**

**AUDIT**

Internal Audit

Internal Audit Progress Report

Internal Audit Charter

Audit Plan 2016/17

External Audit

Certification of Claims and Returns Annual Report 2014/15

**REGULATORY FRAMEWORK**

Annual Governance Statement & Corporate Risk Monitoring Report

**ACCOUNTS**

Statement of Accounts 2015/16 – considerations

Antony Harvey- Audit Group Manager,  
Southern Internal Audit Partnership  
Antony Harvey- Audit Group Manager,  
Southern Internal Audit Partnership  
Antony Harvey- Audit Group Manager,  
Southern Internal Audit Partnership

Kate Handy – Director, Ernst and  
Young

Stephen Whetnall - Chief Operating  
Officer

Alexis Garlick - Chief Finance Officer